

Electronic Acknowledgement Receipt

EFS ID:	8594752
Application Number:	10753069
International Application Number:	
Confirmation Number:	5428
Title of Invention:	Systems and methods for trading actively managed funds
First Named Inventor/Applicant Name:	Clifford J. Weber
Customer Number:	22930
Filer:	Michael Jay Stimson/Cathe Zinn
Filer Authorized By:	Michael Jay Stimson
Attorney Docket Number:	00322.0008.CPUS01
Receipt Date:	08-OCT-2010
Filing Date:	08-JAN-2004
Time Stamp:	19:20:06
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	SupplementalIDS.pdf	30423 1c0c9a66b9ed39fe8dba6450d868bef54cb42af0	no	2

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	IDS.pdf	28318 1226996a19318c9a0f07921858c36b1979a987e1	no	1
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	CanadianHedgeWatchNov2004.pdf	679052 cb04f1acdb7e94f15a5868e04e2bae4de54115aa	no	5
Warnings:					
Information:					
Total Files Size (in bytes):			737793		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					